

07/10/01
JC355 U.S. PTO
Date: File No. July 10, 2001 A-70170

07-12-01
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

"EXPRESS MAIL" MAILING LABEL

NUMBER EF408411039US
DATE OF DEPOSIT July 10, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME Richard E. Backus

SIGNED Richard E. Backus

Assistant Commissioner for Patents
Washington, DC 20231

07/10/01
JC997 U.S. PTO
09/902856

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

A. David Johnson, Vikas Galhotra and Vikas Gupta

For: FREE STANDING SHAPE MEMORY ALLOY THIN FILM AND
METHOD OF FABRICATION

Enclosed are also:

3 Sheets of INFORMAL/FORMAL drawing

An Assignment of the invention to: _____

Power of Attorney by Assignee & Exclusion of Inventor Under 37 CFR 1.32

Combined Declaration and Power of Attorney for Patent Application

Declaration for Patent Application

Associate Power of Attorney

Information Disclosure Statement (IDS)/PTO-1449; copies of IDS citations

Request and Certification under 35 U.S.C. 122(b)(2)(i) with attached form PTO/SB/35

FEE CALCULATION

Applicant claims small entity status. See 37 CFR 1.27.

| | (Col. 1) | (Col. 2) | SMALL ENTITY | |
|---|----------|----------|--------------|--------|
| | | | RATE | Fee |
| BASIC FEE | | | | \$ 355 |
| TOTAL CLAIMS | 9 | - 20 = 0 | x \$ 9 = | \$ |
| INDEP CLAIMS | 2 | - 3 = 0 | x \$ 40 = | \$ |
| MULTIPLE DEPENDENT CLAIM PRESENTED | → | 0 | + \$ 135 = | \$ |
| If the difference in Col 1 is less than zero, enter "0" in Col. 2 | | | TOTAL | \$ 355 |

Our Check No. 1129 in the amount of \$ 355 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. _____).
A duplicate copy of this sheet is enclosed.

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Respectfully submitted,

Richard E. Backus
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